

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

CASE

Examiner:

UNKNOWN

Serial No.:

09/822,092

Group Art Unit:

2862

Filed:

MARCH 30, 2001

Docket No.:

13207.7USU2

Title:

SYSTEM AND METHOD FOR PROVIDING A SERVER-BASED

WIRELESS COMMUNICATION OF MEDICAL TEST REQUESTS AND

**RESULTS** 

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on SCPTCABER 30. 2002.

By: Name:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents Washington, D.C. 20231

Dear Sir:



With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in an International Search Report mailed September 23, 2002.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

## Certification Under 37 C.F.R. § 1.704(d)

In accordance with 37 C.F.R. § 1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement. Accordingly, no patent term adjustment is due for the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Enclosed for the Examiner's information is a copy of the International Search Report.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C. P. O. Box 2903 Minneapolis, Minnesota 55402-0903 612.332.5300

Date 100 7/1 200

Richard J. Gregson

Reg. No. 41,804 RJG:PSTtdm

> RECEIVED 0CT 1 0 2002 GROUP 3600

## PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY	PCT
MERCHANT & GOULD PC Attn. Bruess , Steven C. P.O.Box 2903 Minneapolis, Minnesota -55402-0903 UNITED STATES OF AMERICA	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION  ATY RESP. S.R.: Nov. 23, 2002 (PCT Rule 44.1)  ATY IDS US / PTA: OU. 23, 2002  ATY IDS US: Nov. 23, 2003
	(day/month/year) 23/09/2002
Applicant's or agent's file reference 13207.7W0U2 ✓	FOR FURTHER ACTION See paragraphs 1 and 4 below
International application No. PCT/US 01/ 10567	International filing date (day/month/year) 30/03/2001
Applicant QUANTECH LTD.	·
1. X  The applicant is hereby notified that the International Search Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claim When? The time limit for filing such amendments is norma International Search Report; however, for more de  Where? Directly to the International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Fascimile No.: (41–22) 740.14.35  For more detailed instructions, see the notes on the accordance of the colombet of the colom	s of the International Application (see Rule 46):  Ily 2 months from the date of transmittal of the tails, see the notes on the accompanying sheet.
3. With regard to the protest against payment of (an) addition the protest together with the decision thereon has been applicant's request to forward the texts of both the professional contents.	n transmitted to the International Bureau together with the
no decision has been made yet on the protest; the app	licant will be notified as soon as a decision is made.
4. Further action(s): The applicant is reminded of the following: Shortly after 18 months from the priority date, the international are if the applicant wishes to avoid or postpone publication, a notice priority claim, must reach the International Bureau as provided completion of the technical preparations for international publical Within 19 months from the priority date, a demand for internation wishes to postpone the entry into the national phase until 30 moly within 20 months from the priority date, the applicant must perforbefore all designated Offices which have not been elected in the priority date or could not be elected because they are not bour.	of withdrawal of the international application, or of the in Rules 90bis.1 and 90bis.3, respectively, before the atton.  all preliminary examination must be filed if the applicant on the priority date (in some Offices even later).  The prescribed acts for entry into the national phase e demand or in a later election within 19 months from the
Name and mailing address of the International Searching Authority	Authorized officer

Ahmea Soliman

European Patent Office, P.B. 5818 Patentla⊴n 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

### **NOTES TO FORM PCT/ISA/220**

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

### **INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19**

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rute 46.2).

Where a demand for international preliminary examination has been is filed, see below.

### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

## What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

### NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

## The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
   "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
   "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
   "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

tt should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

#### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

### Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

## PATENT COOPERATION TREATY

# **PCT**

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of	f Transmittal of International Search Report
13207.7W0U2	ACTION (Form PC1/ISA/2	20) as well as, where applicable, item 5 below.
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/US 01/10567	30/03/2001	31/03/2000
Applicant		
QUANTECH LTD.		
This International Search Report has been according to Article 18. A copy is being tra	n prepared by this International Searching Auth ansmitted to the International Bureau.	ority and is transmitted to the applicant
This International Search Report consists  X It is also accompanied by	of a total of sheets. a copy of each prior art document cited in this	report.
1. Basis of the report		
<ul> <li>With regard to the language, the language in which it was filed, unl</li> </ul>	international search was carried out on the bas less otherwise indicated under this item.	sis of the international application in the
the international search w Authority (Rule 23.1(b)).	as carried out on the basis of a translation of the	ne international application furnished to this
		ternational application, the international search
was carried out on the basis of the contained in the internation	e sequence listing : onal application in written form.	
filed together with the inte	ernational application in computer readable form	1.
furnished subsequently to	this Authority in written form.	
furnished subsequently to	this Authority in computer readble form.	·
the statement that the sub-	osequently furnished written sequence listing d	oes not go beyond the disclosure in the
the statement that the info furnished	ormation recorded in computer readable form is	s identical to the written sequence listing has been
2. Certain claims were fou	nd unsearchable (See Box I).	
3. Unity of invention is lac	king (see Box II).	
4. With regard to the title,		
X the text is approved as su	bmitted by the applicant.	
the text has been establis	shed by this Authority to read as follows:	
·		
5. With regard to the abstract,		
the text is approved as su		be a three days in Day III. The same is a
	shed, according to Rule 38.2(b), by this Authoric e date of mailing of this international search rep	
6. The figure of the drawings to be published	lished with the abstract is Figure No.	1
as suggested by the appli	icant.	None of the figures.
because the applicant fail		
because this figure better	characterizes the invention.	

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### INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/10567 A. CLASSIFICATION OF SUBJECT MATTER IPC 7 GO6F19/00 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 7 G06F Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, PAJ, IBM-TDB, INSPEC C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. US 5 841 975 A (LAYNE SCOTT P ET AL) 1,14 24 November 1998 (1998-11-24) Y column 8, line 21 - line 51 2,3, 5-13,15, column 10, line 36 -column 11, line 54 column 14, line 65 -column 15, line 63; figures 4,6,11,12 Y WO 99 04043 A (ABBOTT LAB) 2,3,9-13 28 January 1999 (1999-01-28) column 5, line 23 -column 7, line 11 column 13, line 15 - line 35 -/--Further documents are listed in the continuation of box C. X Χ Patent family members are listed in annex. Special categories of cited documents: \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the \*A\* document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention filing date cannot be considered novel or cannot be considered to "L" document which may throw doubts on priority claim(s) or involve an inventive step when the document is taken alone which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the "O" document referring to an oral disclosure, use, exhibition or document is combined with one or more other such docu ments, such combination being obvious to a person skilled document published prior to the international filing date but later than the priority date claimed \*&" 'document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 16 September 2002 23/09/2002 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2

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Schenkels, P

## INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/10567

ategory °	tion) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
egury -	Citation of document, with indication, where appropriate, of the relevant passages	relevant to claim No.		
	US 4 835 372 A (GOMBRICH ET AL) 30 May 1989 (1989-05-30)	5-8		
	column 2, line 3 -column 6, line 44	17-19		
	column 9, line 4 -column 19, line 10;			
	figures 1-3,20			
	US 5 924 074 A (EVANS JAE A) 13 July 1999 (1999-07-13)	15,16		
	abstract	4		
	US 5 631 844 A (MARGREY ET AL)	1,14		
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	column 1, line 18 -column 6, line 34			
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## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/US 01/10567

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 5841975	A	24-11-1998	AU WO	5696098 A 9826365 A1	03-07-1998 18-06-1998
WO 9904043	A	28-01-1999	EP JP WO	1027459 A1 2002511965 T 9904043 A1	16-08-2000 16-04-2002 28-01-1999
US 4835372	A	30-05-1989	US US US CA EP JP WO	5640301 A 5179569 A 5307372 A 1261968 A1 0230458 A1 63500546 T 8700659 A1	17-06-1997 12-01-1993 26-04-1994 26-09-1989 05-08-1987 25-02-1988 29-01-1987
US 5924074	A	13-07-1999	AU WO US US	4603497 A 9813783 A1 6347329 B1 2002046346 A1	17-04-1998 02-04-1998 12-02-2002 18-04-2002
US 5631844	Α	20-05-1997	US US US	5366896 A 6192320 B1 6055487 A	22-11-1994 20-02-2001 25-04-2000

FORM TO INFORMATION DISCLOSURE STATEMENT	Docket Number: 13207.7USU2	Application Number: 09/822,092
in an application	Applicant: CASE	
OCT 0 3 2002  IN AN APPLICATION (Use several sheets if necessary)	Filing Date: 03/30/2001	Group Art Unit: 2862
TRADEMARK C		

			U.S. PATENT DOCUMEN	TS			
EXAMINER DOCUMENT NO.		DATE NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,835,372	05/30/1989	Gombrich et al.				,
	5,631,844	05/20/1997	Margrey et al.		,		
	5,841,975	11/24/1998	Layne et al.				·.
	5,924,074	07/13/1999	Evans				
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	WO 99/04043		PCT				
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OCT 1 0 2002 GROUP 3600



PATENT TRADEMARK OFFICE

**EXAMINER** 

**DATE CONSIDERED** 

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.